

# POST REVIEW ACTION PLAN 2022



Worsley Alumina

Recommendation reference	Non-compliance / Controls improvement (Rating / Licence obligation reference number & licence obligation / Details of noncompliance or inadequacy of controls)	Auditors Recommendation	Action proposed to be taken by the licensee	Responsible person(s)	Target completion date
1/2022	<b>B2</b> <b>Obligation 124:</b> Distribution Licence, condition 4.5.1 Generation Licence, condition 4.5.1 Integrated Regional Licence, condition 4.5.1 Retail Licence, condition 4.5.1 Transmission Licence, condition 4.5.1	<b>1/2022:</b> Utilise Global360 risk management system by inputting an annual prompt with responsibility and delegation to prepare and submit the compliance report to the ERA. This will raise and track compliance actions within WAPL.	<ol style="list-style-type: none"> <li>1. Process to be documented for future reference and avoid reliance on existing subject experts availability.</li> <li>2. Lodge requirements as an Obligation in Global360 and set up trigger to ensure report is completed and submitted on time. ACT-0149956 lodged in G360</li> </ol>	Senior Assurance Specialist	30/11/2022
2/2022	<b>B3</b> <b>AMS 1.9</b> Asset management plan is regularly reviewed and updated	<b>2/2022:</b> It is recommended that WAPL revise their document review environment, utilising existing management systems, such as integration into Power BI and SAP on a schedule to ensure documents reviews are completed on schedule	<ol style="list-style-type: none"> <li>1. Action to be raised in Global 360 to Matt Paulger to review and update SAMP (WAPL-Business-CD-200000089)</li> <li>2. Improve document status visibility by utilising PowerBI</li> </ol>	Asset Management Superintendent.  Lead Document Control	30/01/2023  30/06/2023